



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

Marcus Peinado et al.

Serial No.: 09/525,509

Group Art Unit: Not Yet Assigned

Filing Date: March 15, 2000

Examiner: Not Yet Assigned

For: PRODUCING A NEW BLACK BOX FOR A DIGITAL RIGHTS
MANAGEMENT (DRM) SYSTEM

DATE OF DEPOSIT: Apr. 17 2000

I HEREBY CERTIFY THAT THIS PAPER IS BEING
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Steven H. Meyer
TYPED NAME: Steven H. Meyer
REGISTRATION NO.: 37,189

Assistant Commissioner for Patents
Washington DC 20231

Dear Sir:

INFORMATION DISCLOSURE STATEMENT

Pursuant to 37 C.F.R. §1.56 and in accordance with 37 C.F.R. §§1.97-1.98, information relating to the above-identified application is hereby disclosed. Inclusion of information in this statement is not to be construed as an admission that this information is material as that term is defined in 37 C.F.R. §1.56(b).



In accordance with §1.97(b), since this Information Disclosure Statement is being filed either within three months of the filing date of the above-identified application, within three months of the date of entry into the national stage of the above identified application as set forth in §1.491, or before the mailing date of a first Office Action on the merits of the above-identified application, no additional fee is required.

- ☐ In accordance with §1.129(a), this Information Disclosure Statement is being filed in connection with ☐the first or ☐second After Final Submission, therefore:
- ☐ Certification in Accordance with §1.97(e) is attached; or
- ☐ The fee of \$240.00 as set forth in §1.17(p) is attached.
- ☐ In accordance with §1.97(c), this Information Disclosure Statement is being filed after the period set forth in §1.97(b) above but before the mailing date of either a Final Action under §1.113 or a Notice of Allowance under §1.311, therefore:
- ☐ Certification in Accordance with §1.97(e) is attached; or
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- ☐ In accordance with §1.97(d), this Information Disclosure Statement is being filed after the mailing date of either a Final Action under §1.113 or a Notice of Allowance under §1.311 but before, or simultaneously with, the payment of the Issue Fee, therefore included are: Certification in Accordance with §1.97(e); Petition Requesting Consideration of the Information Disclosure Statement; and the fee of \$130.00 as set forth in §1.17(i)(1).
- ☒ Copies of each of the references listed on the attached Form PTO-1449 are enclosed herewith.
- ☐ Copies of references listed on the attached Form PTO-1449 are enclosed herewith EXCEPT THAT:
- ☐ In view of the voluminous nature of references [list as appropriate], and the likelihood that these references are available to the Examiner, copies are not enclosed herewith.

- ☐ In accordance with §1.98(d), copies of the following references listed on the attached Form PTO-1449 are not enclosed herewith because they were previously cited by or submitted to the U.S. Patent and Trademark Office in patent application(s) for which a claim for priority under 35 U.S.C. §120 have been made in the instant application:
- ☐ Copies of references [list as appropriate] listed on the attached Form PTO-1449 were previously cited by or submitted to the Patent and Trademark Office in prior application Serial No. , filed .
- ☐ If any of the foregoing publications are not available to the Examiner, Applicant will endeavor to supply copies at the Examiner's request.

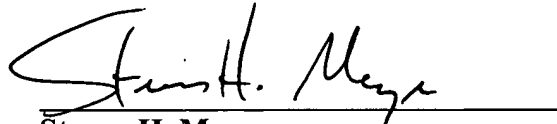
Please charge any deficiency or credit any overpayment to Deposit Account No. 23-3050.

This form is submitted in duplicate.

There are no listed references which are not in the English language.

Date:

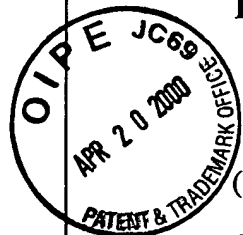
April 17 2000



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**Form PTO-1449 Modified**

List of Patent and Publications
Cited by Applicant
(Use several sheets if necessary)

U.S. Department of Commerce
Patent and Trademark Office

Docket No.
MSFT-0117

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Applicant
Marcus Peinado et al.

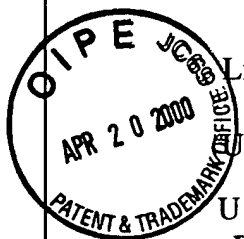
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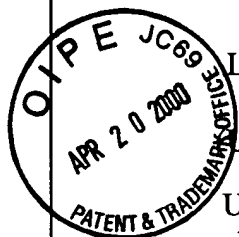
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	AQ	Kohl, U. et al., "Safeguarding Digital Library Contents and Users; Protecting Documents Rather Than Channels," <i>D-Lib Magazine</i> , September, 1997, 9 pages
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	BK	4,323,921	04/06/82	Guillou	358	114
	BL	4,528,643	07/09/85	Freeny, Jr.	364	900
	BM	4,658,093	04/14/87	Hellman	380	25
	BN	4,683,553	07/28/87	Mollier	380	4
	BO	4,827,508	05/02/89	Shear	380	4
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	BQ	4,953,209	08/28/90	Ryder, Sr. et al.	380	23
	BR	4,977,594	12/11/90	Shear	380	4
	BS	5,050,213	09/17/91	Shear	380	25

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	BU	EP 0 715 247 A1	06/05/96	EPO	X
	BV	WO 93/01550	01/21/93	PCT	X
	BW	WO 96/13013	05/02/96	PCT	X

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	BZ	5,109,413	04/28/92	Comerford et al.	380	4
	CA	5,117,457	05/26/92	Comerford et al.	380	3
	CB	5,193,573	03/16/93	Chronister	137	315
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	CD	5,319,705	06/07/94	Halter et al.	380	4
	CE	5,410,598	04/25/95	Shear	380	4
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	CJ	WO 97/25798	07/17/97	PCT	X	
	CK	WO 97/43761	11/20/97	PCT	X	
	CL	WO 98/09209	03/05/98	PCT	X	

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	CW	WO 98/24037	06/04/98	PCT	X
	CX	WO 98/37481	08/27/98	PCT	X

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